

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
3818.02-5

In Re Application Of: **ALAN BRUCE MONTGOMERY**

Serial No. **APR 26 2004**
10/613,639

Filing Date
JULY 3, 2003

Examiner
N/A

Group Art Unit
1616

Title: **INHALABLE AZTREONAM LYSINATE FORMULATION FOR TREATMENT AND PREVENTION
OF PULMONARY BACTERIAL INFECTIONS**

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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OF PULMONARY BACTERIAL INFECTIONS**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 16-1331
as described below.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

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I certify that this document and fee is being deposited
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as first class mail under 37 C.F.R. 1.8 and is
addressed to the Commissioner for Patents, P.O. Box
1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

MELINDA A. TOMPKINS

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by
deposit account.

Signature

Dated: APRIL 21, 2004

HANA VERNY (REG. NO. 30,518)
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FOR PTO-1449 (Modified)

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANTS INFORMATION DISCLOSURE
STATEMENT**

SUPPLEMENTAL

(Use several sheets if necessary)

ATTY. DOCKET NO.

3818.02-5

SERIAL NO.

10/613,639

APPLICANT : ALAN BRUCE MONTGOMERY

FILING DATE : JULY 3, 2003

GROUP 1616

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	AAA	4	7	7	5	6	7	0	10/4/88	Sykes, et al.	514	210	1/19/81	
	BBB	4	9	4	6	8	3	8	8/7/90	Floyd, et al.	514	210	7/28/86	
	CCC	0	0	0	9	1	2	6	1/15/04	Pilkiewicz	424	46	3/5/03	
	DDD	4	5	7	2	8	0	1	2/25/86	Matsuo, et al.	260	245.4	5/31/83	
	EEE	4	6	1	0	8	2	4	9/9/86	Trüner	540	355	10/9/84	
	FFF	4	6	7	3	7	3	9	6/16/87	Matsuo, et al.	540	355	9/18/85	
		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	NO
	MM	0	2	9	7	5	8	0		Europe	417	12	X	

OTHER

ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

PVJS CASE: 3818.01-1 (HV)